How to complete this form: Please use BLOCK CAPITALS. All sections are mandatory

- To open a TD Direct Investing Trading Plus Account, please complete sections 1 to 5 of this form and ensure that you sign (X) and date the Declaration in section 5.
- Please complete the Trading Plus Application Part B Form to enable you to trade in Warrants and/or Securitised Derivatives, e.g. Covered Warrants.
- Please ensure you read the TD Direct Investing Customer Terms of Service available on our website www.tddirectinvesting.co.uk before completing this form.
- Should you require any help completing this form, please do not hesitate to call us on 0845 607 6001.
- If you are an existing TD Direct Investing customer, please enter your Customer Account Number here: ☐☐☐☐☐☐☐
- Please note that you will need to fund your account with at least £100 before it is fully active.
- Return your completed form to TD Direct Investing, Customer Accounts Dept., Exchange Court, Duncombe Street, Leeds LS1 4AX

1 About yourself

First applicant details		Joint applicant details	
Title		Title	
Forename		Forename	
Middle name(s)		Middle name(s)	
Surname		Surname	
Date of birth	DD / MM / YYYY	Date of birth	DD / MM / YYYY
Nationality		Nationality	
Country of residence		Country of residence	
Home phone no. inc std		Home phone no. inc std	
Work phone no. inc std		Work phone no. inc std	
Mobile phone no.		Mobile phone no.	
Tax Identification No.*		Tax Identification No.*	

*If this is not known please complete the following.
Only complete if you are a non UK National.

Place (Town & Country) of birth		Place (Town & Country) of birth	
National Insurance No. (if applicable)	☐☐ ☐☐ ☐☐ ☐☐☐	National Insurance No. (if applicable)	☐☐ ☐☐ ☐☐ ☐☐☐

Please write your email address clearly in the space provided. Your account password will be sent to this address.

Email address		Email address	
Mother's maiden name			

First applicant address

Postcode

Joint applicant details

Postcode

Residence Type	Homeowner – owned outright	☐	Residence Type	Homeowner – owned outright	☐
	Homeowner – subject to mortgage	☐		Homeowner – subject to mortgage	☐
	Tenant ☐ Living with relatives	☐		Tenant ☐ Living with relatives	☐
Time at current address	Years ☐ Months	☐	Time at current address	Years ☐ Months	☐

If you have lived at your residence for less than 3 years, we need you to provide us with your previous address detail below.

First applicant previous address

Postcode

Joint applicant previous address

Postcode

Time at previous address	Years	☐ Months ☐	Time at previous address	Years	☐ Months ☐

2 Employment status (please tick as appropriate)

First applicant

- ☐ Full time employment
- ☐ Part time employment
- ☐ Self employed
- ☐ Retired
- ☐ Homemaker
- ☐ Unemployed
- ☐ Student
- ☐ Armed Forces

Joint applicant

- ☐ Full time employment
- ☐ Part time employment
- ☐ Self employed
- ☐ Retired
- ☐ Homemaker
- ☐ Unemployed
- ☐ Student
- ☐ Armed Forces

Employer's name

Employer's address

Postcode

No. of years in current employment YY / MM

National Insurance No.

Employer's name

Employer's address

Postcode

No. of years in current employment YY / MM

National Insurance No.

3 Additional Services to Your Trading Plus Account (please tick as appropriate)

Multi currency Savings Account

Do you want us to add a Multi currency Savings Account? ☐

With a Multi currency Savings Account you can hold GBP, EUR, USD and CAD.

We realise some customers may not wish to receive interest on their uninvested cash. If you do not wish interest to be paid please tick this box. ☐

Account Administration

You will receive your account administration messages electronically. If you prefer paper copies please tick this box. ☐

If you would like to receive news and email information on other products and services offered by TD Direct Investing or other members of its Group of companies by email or SMS please tick this box ☐. You may unsubscribe from this service at anytime by contacting us on 0845 607 6001.

4 Funding your account

Please specify your preferred funding option from the list below.

☐ Stock transfer ☐ Stock deposit ☐ Cheque payment (minimum £100)

I enclose a cheque for £

Please make your cheque payable to TD Direct Investing.

5 Declaration (please read and sign this section)

To open a TD Direct Investing Account and receive Banking Services all applicants must read and complete the relevant sections below.

- I/we confirm that I/we have been given the opportunity to read and consider the TD Direct Investing Customer Terms of Service that will form the contract between us and upon which TD Direct Investing intend to rely. For my own benefit and protection I/we should read these terms carefully before starting to trade. I/we can ask for further information if we do not understand any point.
- I/we consent to the use of my/our personal information as set out in clause 20 of the TD Direct Investing Customer Terms of Service.
- I/We understand that by submitting this form, I am/we are indicating my/our consent to receiving marketing information as set out in clause 20.2 of the TD Direct Investing Customer Terms of Service unless I/we have indicated an objection to receiving such information by ticking the following box. **First applicant** ☐ **Joint applicant (if applicable)** ☐
- I/We confirm that I am/we are 18 years of age or over and agree that the information in this form is true and correct to the best of my/our knowledge and beliefs.
- I/We confirm that my/our permanent address is not outside the United Kingdom.
- I/We will undertake to notify you promptly of any changes to the details supplied.
- I/We confirm that this Account is not to be used for or on behalf of anyone other than the applicant(s), without disclosure to TD Direct Investing.

First applicant signature

✗

Date D D M M Y Y Y Y

Joint applicant signature

✗

Date D D M M Y Y Y Y

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